



PATENT

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 10, 2004

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Kevin G. Rooney, Reg. No. 36,330

*2/10/04*  
Date

Applicants: James W. Schmitkons et al.  
Serial No.: 10/699,288  
Filed: October 31, 2003  
Group Art Unit: 2881  
Examiner: Unknown  
Confirmation No.: 2565  
Title: LAMP ASSEMBLY AND METHOD OF CONVERTING BETWEEN FLOOD AND FOCUS CONDITIONS  
Attorney Ref. No.: NOR-1109

Cincinnati, Ohio 45202

February 10, 2004

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in



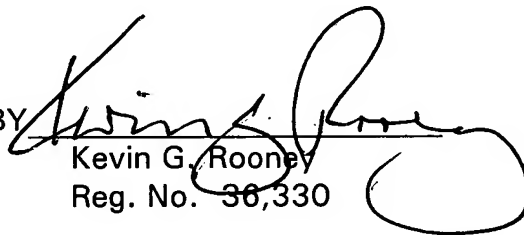
connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants do not believe that any fees are due in connection with this submission. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to deposit account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

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SUBSTITUTE FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
NOR-1109SERIAL NO.  
10/699,288

(MODIFIED)

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
James W. Schmitkons et  
al.FILING DATE  
October 31, 2003GROUP  
Unknown

(Use several sheets if necessary)

(37 CFR 1.98(c))

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	3	8	1	9	9	2	9	6/1974	Newman	240	41.37	
	A.B	4	0	0	0	4	0	7	12/1976	Keller et al.	240	47	
	A.C	4	5	9	6	9	3	5	6/1986	Lumpp	250	495.1	
	A.D	5	0	9	4	0	1	0	3/1992	Jacobi et al.	34	1	
	A.E	5	3	2	1	5	9	5	6/1994	Jacobi et al.	362	373	
	A.F	6	4	5	7	8	4	6	10/2002	Cook et al.	362	321	
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L	GB2315850	2/11/98	UK			
	A.M	GB2336895	11/3/99	UK			
	A.N	GB2284469	6/7/95	UK			
	A.O	GB2024393	1/9/80	UK			
	A.P	GB1554253	10/17/79	UK			
	A.Q	EP0900977	3/10/99	EP			

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	
	A.S	
	A.T	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.  
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	B.A						
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		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	B.L	FR2334966	7/8/77	France			No
	B.M	JP58061831	4/13/83	Japan			Abstract Only
	B.N						
	B.O						
	B.P						
	B.Q						

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	B.R	
	B.S	
	B.T	

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